Docket: 187142/US/2

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named

Inventor:

Jay H. Stoffer

Appln. No.:

10/553,021

U.S. Filing Date:

October 11, 2005

PCT Serial No.:

PCT/US2004/10987

International Filing

Date:

09 April 2004(09.04.2004)

**Adaptive Subtraction Image** 

Title: Compression

Group Art

Unit:

2181

# RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. § 371 IN THE US DESIGNATED/ELECTED OFFICE (DO/EO/US)

## **MAIL STOP PCT**

Commissioner for Patents

P. O. Box 1450

Alexandria, VA 22313-1450

I hereby certify that this document is being sent via First Class U.S. mail addressed to: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this 5th day of September, 2006.

Susan Nienaber

#### Dear Sir:

In response to the Notification of Missing Requirements Under 35 U.S.C. 371 in the United States Designated/Elected Office (DO/EO/US), date mailed July 5, 2006, the following documents are enclosed:

- 1. Required copy of the Notification of Missing Requirements (3 pgs);
- 2. Executed Declaration (3 pgs);
- 3. Executed Power of Attorney (1 pg.);
- 4. Fee Transmittal Sheet (1 pg.);
- 5. Check in the amount of \$215.00 for the Search Fee \$50; Examination Fee \$100; and Surcharge \$65 Small Entity; and
- 6. Return Receipt Postcard.

In the Notification of Missing Requirements Under 35 U.S.C. 371 in the United States Designated/Elected Office (DO/EO/US), date mailed July 5, 2006, we note that the USPTO indicates that a copy of the sequence listing as required by CFR 1.821(e) is due. Please note that we have reviewed the file and do not see where a sequence listing is required. If you feel one is needed, please send us a further response indicating the sequences listing in the application with pages numbers.

09/12/2006 MKAYPAGH 00000093 10553021

01 FC:2617 02 FC:2641 03 FC:2633 65.00 OP 50.00 OP 100.00 OP

Enclosed is a check in the amount of \$215.00 for the fees noted above. However, the Commissioner is hereby authorized to charge any deficiencies or credit any overpayments to Deposit Account No. 04-1450 and notify us same.

Respectfully submitted,

DORSEY & WHITNEY LLP

Customer Number 257,63

Alicia Griffin Mills Esq.

Reg. No. 46,933

Phone: (612) 492-6514

Date: September 5, 2006



United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

U.S. APPLICATION NUMBER NO.

FIRST NAMED APPLICANT

ATTY. DOCKET NO.

10/553.021

Jay H. Stoffer

187142/US/2

**CONFIRMATION NO. 1324** 

'JUL 10 2006

INTERNATIONAL APPLICATION NO.

PCT/US04/10987

25763 DORSEY & WHITNEY LLP INTELLECTUAL PROPERTY DEPARTMENT

50 SOUTH SIXTH STREET **MINNEAPOLIS, MN 55402-1498**  I.A. FILING DATE 04/09/2004

PRIORITY DATE 04/11/2003

RESPONSE DUE:

371 FORMALITIES LETTER

Sept 5,2004/Jan 5, 2007

Date Mailed: 07/05/2006

# NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Indication of Small Entity Status
- Copy of the International Application filed on 10/11/2005
- Copy of the International Search Report filed on 10/11/2005
- Preliminary Amendments filed on 10/11/2005
- ✓ Information Disclosure Statements filed on 06/12/2006
- Small Entity Statement filed on 10/11/2005
- U.S. Basic National Fees filed on 10/11/2005
- → Priority Documents filed on 10/11/2005
- Specification filed on 10/11/2005
- Claims filed on 10/11/2005
- Abstracts filed on 10/11/2005
- Drawings filed on 10/11/2005

The applicant needs to satisfy supplemental fees problems indicated below.

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

#### SUMMARY OF FEES DUE:

Total additional fees required for this application is \$215 for a Small Entity:

### \$65 Surcharge.

- This application clearly fails to comply with the requirements of 37 CFR. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment specifically directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825 (d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821 (e) may be submitted in lieu of a new CRF.
- A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 CFR 1.821(e). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.
- The application search fee has not been paid. Applicant must submit \$50 to complete the search fee. Note a surcharge will be required if submitted later than commencement of the national stage (37 CFR 1.492(h)) and the basic national fee was not paid before July 1, 2005.
- The application examination fee has not been paid. Applicant must submit \$100 to complete the examination fee for a small entity in compliance with 37 CFR 1.27. Note a surcharge will be required if submitted later than commencement of the national stage (37 CFR 1.492(h)) and the basic national fee was not paid before July 1, 2005.

Applicant is cautioned that correction of the above items may cause the specification and drawings page count to exceed 100 pages, if the specification and drawings exceed 100 pages, applicant will need to submit the required application size fee.

For questions regarding compliance to 37 CFR 1.821-1.825 requirements, please contact:

- For Rules Interpretation, call (571) 272-0951
- For Patentin Software Program Help, call Patent EBC at 1-866-217-9197 or directly at 703-305-3028 / 703-308-6845 between the hours of 6 a.m. and 12 midnight, Monday through Friday, EST.
- Send e-mail correspondence for Patentin Software Program Help @ ebc@uspto.gov

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice MUST be returned with the response.

**VONDA M WALLACE** 

Telephone: (703) 308-9140 EXT 225

## PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.			
10/553,021	PCT/US04/10987	187142/US/2			

FORM PCT/DO/EO/905 (371 Formalities Notice)

Effective on 02/07/06		Complete if Known					
FEE TRANSMITTAL SHEET		Application No. 10/553,021					
		Filing Date		October 11, 2005			
		First Inventor		Jay H. Stoffer			
(FY 2006)	Group	Art Unit	Unknown	Unknown			
		Examiner Name Unknown					
Applicant claims small entity status (see 37 C.F.R. 1.27)		Atty. Docket Number 187142/US/2					
METHOD OF PAYMENT (Check One)		FEE CALCULATION (Continued)					
Check Credit Card Money Order Deposit Account No.: 04-1420 Deposit Account Name: DORSEY & WHITNEY LLP For the above-identified deposit account, the Director is hereby		3. ADDITIONAL FEES					
		Small Entity Fee	Fee Description Fee paid		Fee paid		
authorized to: (check all that apply)  Charge any additional fees or underpayments of fee(s) under		25	Surcharge - late provisional filing fee or cover sheet				
37 C.F.R. 1.16 and 1.17  Credit any overpayments		65	Surcharge – Late nonprovisional filing \$65.00 fee or oath		\$65.00		
Other (please identify):		180	Submission of IDS				
Extra Claim Fees		40	Recording each patent assignment per property (times number of properties)				
Current Claims         Prior         Extra         Fee         Fee Paid           Total         -         20 =         x \$         =         \$		60	Extension for reply	• • •			
Ind 3 = x _\$ =\$	450	225	Extension for reply	y within second month			
Multiple Dependent Claims x \$ = \$  Total (Extra Claims) \$	1,020	510	Extension for reply	within third month			
Total (Extra Graints)	1,590	795	Extension for reply	y within fourth month			
Petition Fee Under 37 CFR 1.17(f), (g), & (h)		1,080	Extension for reply	y within fifth month			
Enclosed is a Petition filed under 37 CFR as indicated below:  Petition Fee under 37 CFR 1.17(f) Fee \$400  § 1.53(e) to accord a filing date. § 1.57(a) to accord a filing date. § 1.182 for decision on a question not provided for. § 1.183 to suspend the rules. § 1.378(e) for reconsideration of decision on petition refusing delayed payment of maintenance fee in expired patent. § 1.174(b) to accord a filing date to an application under §1.740 for extension of patent term.  Petition Fee under 37 CFR 1.17(g) Fee \$200  § 1.12 for access to an assignment record. § 1.14 for access to an application. § 1.47 for filing by other than all inventors or person not the inventor. § 1.59 for evelew of a request for ext. of time when §1.136(a) not avail. § 1.295 for review of refusal to publish a statutory invention registration. § 1.296 withdraw a req. for pub. after notice of intent to publish issued.		395	Submission After	Final 1.129			
		250	Notice of Appeal	Filing a brief in support of an appeal Request for oral hearing			
		250	Filing a brief in su				
		500	·				
		65	Terminal Disclaimer Fee				
		700	Utility & Reissue Issue Fee				
		400	Design Issue Fee Request for Continued Examination				
		395	(RCE)  Request for voluntary publication or republication				
		250	Petition to Revive	Petition to Revive – unavoidable			
		750	Petition to Revive	Petition to Revive – unintentional			
§ 1.377 for review of decision refusing to accept a maintenance fee filed prior to expiration of a patent.			Filing for patent term adjustment				
§ 1.550(c) for request for ext. of time in <u>ex parte</u> reexam. proceedings. § 1.956 for request for ext. of time in <u>ex parte</u> reexam. proceedings.			reduced	Request for reinstatement of term reduced			
§ 5.12 for expedited handling of foreign filing license. § 5.15 for changing the scope of a license.			Extension of term of patent				
§ 1.5.25 for retroactive license.  Petition Fee under 37 CFR 1.17(h)  Fee \$130		OTHER FEE (specify) Search Fee \$50; E		Exam Fee \$100	\$150.00		
§ 1.19(g) to request documents in a form other than provided in this part. § 1.84 for accepting color drawings or photographs. § 1.91 for entry of a model or exhibit.		Subtotal (Additional Fees) \$215.00					
§ 1.102(d) to make an application special. § 1.138(c) to expressly abandon an application to avoid publication. § 1.313 to withdraw an application from issue. § 1.314 to defer issuance of a patent.		Total Amount of Payment: \$215.00					
Submitted by:							
CUSTOMER NUMBER DORSEY & WHITNEY LLP 25763							
Name: Alicia Griffin Mills		Phone No.: (612) 492-6514 Fax No.: (612) 340-8856		-8856			
Signature: USUSUM Wind Mark		Reg. No.: 46,933 Date: September 5, 2006					